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PTO/SB/21 (08-00)

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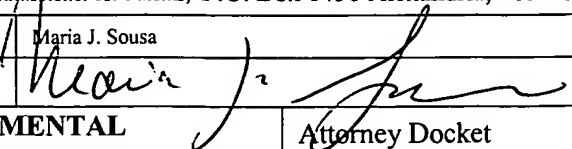
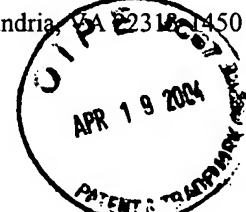
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/734,353
		Filing Date	December 12, 2003
		Confirmation Number	3571
		First Named Inventor	Hu, et al.
		Group Art Unit	3764
		Examiner Name	Unassigned
Total Number of Pages in This Submission	4	Attorney Docket Number	GUID-011CON2
ENCLOSURES (check all that apply)			
<input type="checkbox"/> Fee Transmittal Form IN DUPLICATE <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input checked="" type="checkbox"/> Information Disclosure Statement (2 pgs.) <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Form of PTO (1Pg.) - Post Card	Remarks
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Firm or Individual Name	ALAN W. CANNON, REG. 34,977		
Signature			
Date	April 16, 2004		

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 	Attorney Docket	GUID-011CON2
	First Named Inventor	HU, LAWRENCE W.
	Application Number	10/734,353
	Confirmation No.	3571
	Filing Date	December 12, 2003
	Group Art Unit	3764
	Examiner Name	Unassigned
	Title: "SURGICAL INSTRUMENTS FOR ACCESSING AND STABILIZING A LOCALIZED PORTION OF A BEATING HEART"	

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration.

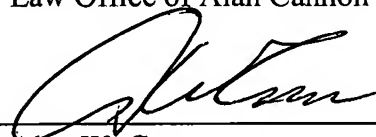
A Form PTO-1449 listing the references accompanies this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record. This application is a Continuation of 09/958,263, filed March 06, 2002, (Now U.S. Patent: 6,685,632); which is a 371 of PCT/US00/12239, filed 5/4/2000, which is a Continuation in Part of application 09/305,803, filed 05/04/1999, (now U.S. Patent: 6,231,506); and a Continuation in Part of application 09/305,810, filed 05/04/1999, (now U.S. Patent: 6,331,158); and a Continuation in Part of application 09/305,811, filed 05/04/1999, (now U.S. Patent: 6,283,912); and a Continuation in Part of application 09/305,813, filed 05/04/1999, (now U.S. Patent: 6,290,644); and a Continuation in Part of application 09/372,661, filed 08/11/1999, now abandoned; which is a Continuation in Part of application 09/305,810, filed 05/04/1999, (now U.S. Patent: 6,331,158). Copies of the U.S. references cited are not included herein, pursuant to the waiver of the requirement under 37 CFR 1.98(a) (2) (i) for patent applications filed after June 30, 2003, provisions of 37 C.F.R. Section 1.98(d).

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.


As applicants have not yet received a first Action on the merits, no fee is believed to be required for filing this Disclosure Statement. If, however, the PTO finds that for some reason a fee is due, our Deposit Account No. 50-2653, order No. GUID-011CON2 may be charged thereof.

Respectfully submitted,
Law Office of Alan Cannon

Date: April 16, 2004

By: 
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 INFORMATION DISCLOSURE CITATION Form PTO-1449 (Modified) <i>Use several sheets if necessary</i>	ATTY. DOCKET NO. GUID-011CON2	SERIAL NO. 10/734,353
	APPLICANT Hu et al.	
	FILING DATE December 12, 2003	GROUP 3764

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A1	6,475,142	11/05/02	Parsons, et al.			
	A2	6,701,930	03/09/04	Benetti et al.			

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	